

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas P. Hartness, et al.) Examiner: Mark A. Deuble
Serial No.: 10/712,405) Group Art Unit: 3651
Filed: November 13, 2003	Our Customer ID: 22827
Confirmation No.: 6837) Our Account No. 04-1403
For: Conveyor With Gear Mechanism Gripper And Related Conveyor Link))

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents U.S. Patent and Trademark Office Post Office Box 1450 Alexandria, VA 22313-1450

Sir:

The attached Information Disclosure Statement is submitted for the Examiner's consideration in accordance with the Applicants' duty of disclosure. Although the documents provided in this Information Disclosure Statement are submitted for the Examiner's convenience, Applicants do not admit of their relevance as prior art to the present application under 35 U.S.C. §102 and §103 nor of their pertinence in other respects.

The Examiner is encouraged to contact the undersigned at his/her convenience should he/she have any questions regarding this matter or require any additional information.

Respectfully submitted,

DORITY & MANNING, ATTORNEYS AT LAW, P.A.

Date: December 28, 2004

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Reg. No.: 35,915

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INFORMATION DISCLOSURE STATEMENT

In re Application of: Thomas P. Hartness, et al. Serial No: 10/712,405

Filed: November 13, 2003 Confirmation No: 6837

Title: Conveyor With Gear Mechanism Gripper and

Related Conveyor Link

Commissioner for Patents U.S. Patent and Trademark Office Post Office Box 1450 Alexandria, VA 22313-1450 Attorney Docket No: HII-109

Date: December 28, 2004

Group Art Unit: 3651

Our Customer ID: 22827

Our Account No: 04-1403

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

- 1.[x] Attached hereto is:
 - a.[x] A list of materials for consideration per Rule 98(a)(1): 5 page(s)
 - b.[] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):
 ____ item(s)
 - c.[] For each <u>non-English</u> language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: ______
 - [] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.
- 2.[X] This Information Disclosure Statement is being filed [CHECK ONE]:
 - a.[X] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, <u>OR</u> BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, <u>WHEREFORE</u> per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
 - b.[] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance <u>OR</u> an action that otherwise closes prosecution, <u>WHEREFORE</u> PER Rule 97(c) submitted herewith is [CHECK ONE]:
 - i.[] Certification per Rule 97(e); OR
 - c.[] AFTER a Final Action <u>OR</u> Notice of Allowance, but BEFORE payment of the issue fee, <u>WHEREFORE</u> per Rule 97(d) submitted herewith is:
 - i. Certification per Rule 97(e); AND
 - ii. Filing fee per Rule 17(p)......\$180.00
- 3.[] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:
 - a.[] That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; <u>OR</u>
 - b.[] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

		being made by signer per signature below).	Simplifier.
		Name:Address:	Signature: Date:
4.[x]	specifi have b (defici or crea	been filed herewith or concerning any paper filed he ciency only) now or hereafter relative to this applica	to the fee(s) filed, or asserted to be filed, or which should ereafter, and which may be required under Rules 16-18 tion and the resulting official document under Rule 20, the heading hereof for which purpose a duplicate copy of
5.[x]	CERT	TIFICATE OF MAILING: This Information Disclo [PLETE ONE]:	sure Statement is being filed pursuant to [CHECK AND
	a.[X]	First Class Mail Certificate of Mailing under Rul	e 8:
		I hereby certify that this correspondence and any with the United States Postal Service as first clas	referenced attachment and/or fee are being deposited s mail in an envelope addressed to the:
		Commissioner for Patents U.S. Patent and Trademark Office Post Office Box 1450 Alexandria, VA 22313-1450	
		on <u>December 28, 2004</u> .	
	b.[]	Joan Behm (Typed printed name of person mailing paper or recognition of person mailing paper or recognition of the person mailing paper or fee) "Express Mail" Certificate under Rule 10: "Express Mail" – Label No. Date of Deposit I hereby certify that this paper and all attachments Service "Express Mail Post Office to Addressee" and is addressed to the:	o'
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		(Typed/printed name of person mailing paper or f	ee)
		(Signature of person mailing paper or fee)	
ADDRI	ESS:	I	OORITY & MANNING, P.A.
Post Of		ox 1449 uth Carolina 29602	By:Jeffrey M. Karmilovich
Telepho	ne: 864		Reg. No: 35,915
racsimi	ic: 804		Signature fly M K-Q-K
		Ι	Date: December 28, 2004



(Rev. 5/92)	Attorney Docket Number:	Serial Number:
Information Disclosure Statement List	HII-109	10/712,405
By Applicant(s)	Applicant:	
Under 37 CFR Section 1.98(a) (1)	Thomas P. Hartnes	ss, et al.
(Use several sheets if necessary)	Filing Date: November 13, 2003	Group Art Unit:
		3751
	Confirmation No: 6837	

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:

USSN	, filed	, 01
USSN	, filed	

Relied on under 35 U.S.C. Section 120, per Rule 98(d)

- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

EMANDED	DAMEN INTERNAL INTERN	1 = 1								,
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER						ISSUE DATE	COPY NOTE	
	Cunning		7	6	6	3	2	9	08/1904	5
	Phelps	1	0	5	8	0	9	6	04/1913	5
	Pittaluga	2	2	7	0	7	0	9	05/1940	5
	Taylor	2	6	1	1	5	2	4	09/1952	5
	Minard	2	6	6	6	5	6	4	01/1954	5
	Webb, et al.	3	5	1	9	1	0	8	07/1968	5
	Campbell, et al.	3	7	4	2	9	8	9	07/1973	5
	Cayton	3	8	2	6	2	9	3	07/1974	5
	Ferrero, et al.	4	0	5	3	0	0	3	10/1977	5
	Riggs, et al.	4	0	9	6	9	3	9	06/1978	5
	Hartness, et al.	4	1	2	6	1	6	3	11/1978	5

FOREIGN PAT	TENT DOCUM	MENTS					
EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRA	NSLA	TION	COPY NOTE
				YES	NO	N/A	

EXAMINER	O THER BOCOMENTS			
INITIALS	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication			
	U.S. Pat. App. No. 2001/0002532	06/2001	5	
	U.S. Pat. App. No. 2001/0052216	12/2001	5	
	U.S. Pat. App. No. 2002/0139436	10/2001	5	
EXAMINER		DATE CONSIDERED		
d	nitial if citation considered, whether or not raw line through citation if not in conforma his form with the next communication to ap	ince and not considered. Include a co	P 609; opy of	

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USSN	, filed;	••

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U.S. PATENT I	DOCUMENTS						*****	-		
EXAMINER INITIALS	PATENTEE NAME	PATENTEE NAME PATENT NUMBER					ISSUE DATE	COPY NOTE		
	Peronek	4	3	3	5	7	6	1	06/1982	5
	Minard	4	5	2	2	2	3	8	06/1985	5
	Leonard	4	5	3	2	9	6	8	08/1985	5
	Fogg, et al.	4	5	6	7	9	1	9	02/1986	5
	Leonard	4	5	8	8	0	0	1	05/1986	5
	Zodrow, et al.	4	6	9	7	6	9	1	10/1987	5
	Kovara	5	0	2	9	6	9	5	07/1991	5
	Herzog	5	0	3	5	2	7	0	07/1991	5
	Ziegler, et al.	5	0	5	2	1	6	6	10/1991	5
	McDowell	5	0	7	4	1	0	3	12/1991	5

FOREIGN PAT	TENT DOCUM	MENTS						
EXAMINER INITIALS	COUNTRY	DOCUMENT NUI	MBER	PUBLICATION DATE	TRA	NSLA	TION	COPY NOTE
					YES	NO	N/A	

^{*&}quot;NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER	OTHER DOCUMENTS			
INITIALS	Pages, Date & Place of Publication	NOTE		
	U.S. Pat. App. No. 2003/0000969	01/2003	5	
	U.S. Pat. App. No. 2003/0075547	04/2003	5	
	U.S. Pat. App. No. 2003/0106779	06/2003	5	
	U.S. Pat. App. No. 2003/0116222	06/2003	5	
EXAMINER DATE CONSIDERED				
d	nitial if citation considered, whether or not raw line through citation if not in conforma his form with the next communication to ap	nce and not considered. Include a co	EP 609; opy of	

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EXAMINER PATENTEE NAME			TENT	NU	ISSUE	COPY				
INITIALS					DATE	NOTE				
	Gentile	5	2	9	5	5	2	3	03/1994	5
	Focke, et al.	5	4	8	7	4	6	1	01/1996	5
	Håkansson, et al.	5	5	2	2	4	3	9	06/1996	5
	Lagneau	5	5	9	5	2	2	1	01/1997	5
	Müller	5	6	4	2	6	0	4	07/1997	5
	Müller	5	6	5	7	6	1	5	08/1997	5
	Hawley	5	7	7	5	0	6	7	07/1998	5
	Weaver, et al.	5	7	7	8	6	3	4	07/1998	5
	Phallen	5	8	7	8	7	9	6	03/1999	5
	Tietz, et al.	5	9	6	0	8	3	8	10/1999	5

FOREIGN PAT	TENT DOCUM	MENTS							
EXAMINER INITIALS	COUNTRY	DOCUME	NT NUM	BER	PUBLICATION DATE	TRA	NSLA	TION	COPY NOTE
						YES	NO	N/A	

EXAMINER	OTHER DOCUMENTS	COPY		
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EXAMINER	DATE CONSIDERED			
Examiner:	initial if citation considered, whether or not citation is in conformance with MPI draw line through citation if not in conformance and not considered. Include a c this form with the next communication to applicant.			

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EXAMINER INITIALS	PATENTEE NAME PATENT NUMBER						ISSUE DATE	COPY		
	Reuteler	5	9	7	9	1	14	7	11/1999	5
	Weaver	5	7	6	8	18	6	0	06/1998	5
	Beringer	6	0	3	8	8	3	3	03/2000	5
	Spatafora, et al.	6	0	5	0	0	6	0	04/2000	5
	Benner, Jr., et al.	6	1	1	9	4	4	0	09/2000	5
	Hart, et al.	6	1	4	1	9	4	3	11/2000	5
	Fukui, et al.	6	1	4	8	5	8	9	11/2000	5
	Paradies	6	2	5	3	8	0	9	07/2001	5
	Ellison	6	2	7	6	4	0	9	08/2001	5
	Dumargue	6	3	3	4	4	7	3	01/2002	5

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EXAMINER INITIALS	PATENTEE NAME	PA	TEN	ΓNU	MBE	ER			ISSUE DATE	COPY
	Reinecke	6	3	4	1	6	3	0	01/2002	5
	Reinecke	6	3	4	3	6	2	8	02/2002	5
	Clüsserath, et al.	6	4	7	4	3	6	8	11/2002	5
	Sindermann	6	4	9	4	2	3	8	12/2002	5
i	De Guglielmo, et al.	6	5	2	0	3	1	6	02/2003	5
	Servadei	6	5	8	1	6	5	3	06/2003	5
			+	+	-	 		 		

FOREIGN PAT	ENT DOCUM	MENTS					-
EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION		COPY NOTE	
				YES	NO	N/A	

EXAMINER	OTHER DOCUMENTS				
INITIALS	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	NOTE			
EXAMINER	DATE CONSIDERED	DATE CONSIDERED			
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